

02/21/02  
JC923 U.S. PTO

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Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [ Total Pages 19 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 8 ]
5.  Oath or Declaration [ Total Sheets 3 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See CFR 176

Attorney Docket No. 045340-0026 (99RSS399)

First Inventor William W. Jones

Title Adaptive Analog Equalizer

Express Mail Label No. Via Hand-Delivery

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1070524  
1070524  
02/21/02

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CR-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP-503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Check, Preliminary Amendment

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. /

Prior application information: Examiner Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 20594  Correspondence address below  
(Insert Customer No. or attach bar code label here.)

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Christopher J. Rourk	Registration No. (Attorney/Agent)	39,348
Signature	C. J. Rourk		
	Date	February 20, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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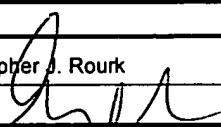
# FEE TRANSMITTAL for FY 2002

Patent fee are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$780.00)

Complete if Known	
Application Number	To be Assigned
Filing Date	Herewith
First Named Inventor	William W. Jones
Examiner Name	To be Assigned
Group Art Unit	To be Assigned
Attorney Docket No.	045340/0026 (99RSS399)

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																											
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account <b>01-0657</b> Number</p> <p>Deposit Account Number</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. 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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Christopher J. Rourk	Registration No. (Attorney/Agent)	39,348	Telephone 214-969-4669
Signature				Date 2/20/02

**WARNING:** Information in this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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*Via Hand-Delivery*

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent Application of Jones, et al. :  
Being Filed Herewith :  
For: Adaptive Analog Equalizer : Attorney Docket  
: No. 045340.0026 (99RSS399)

**BOX PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR NONPUBLICATION OF APPLICATION  
UNDER 35 U.S.C. § 122(b)  
(37 C.F.R. § 1.213(a))**

1. This request is being submitted with this application on filing.
2. **It is requested that this application not be published under 35 U.S.C. 122(b).**
3. This is to certify that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

By:

*2/26/02*  
Christopher J. Rourk  
Registration No. 39,348  
**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**  
P.O. Box 688  
Dallas, Texas 75313-0688  
Telephone: (214) 969-2800  
**Direct Dial: (214) 969-4669**  
Facsimile: (214) 969-4343  
E-Mail: [crourk@akingump.com](mailto:crourk@akingump.com)